

FINANCE (FIN)

FIN 161 Personal Finance 3 Hours

Designed to serve the personal finance needs of students regardless of their major fields. Practical applications in personal and family financial planning, including credit, buying, borrowing, banking, insurance, investments, taxation, estate planning and home ownership. **Colonnade/Statewide General Education Code E-SB | SB**

Recent Term(s) Offered: winter 2021; spring 2021; summer 2021; fall 2021; spring 2022; summer 2022; fall 2022; spring 2023; summer 2023; fall 2023

FIN 330 Principles of Finance 3 Hours

Covers basic concepts and techniques in corporate finance and investments. Topics include asset valuation, time value of money, capital budgeting, financial statements and international finance.

Prerequisite(s): (ACCT 110 or ACCT 220) and (MATH 123 or MATH 136 or MATH 137 or MATH 142 or MATH 237 or ACT Math with a score of 26 or SAT Mathematics Score with a score of 610) and (ECON 202 or ECON 203)

Recent Term(s) Offered: spring 2021; summer 2021; fall 2021; spring 2022; summer 2022; fall 2022; spring 2023; summer 2023; fall 2023

FIN 331 Applied Investments 3 Hours

Covers personal investment fundamentals, including asset class and fund selection within 401k and similar retirement plans, use of tax-favored accounts, and factor-based investing.

Recent Term(s) Offered: spring 2021; fall 2021; spring 2022; fall 2022; spring 2023; fall 2023

FIN 332 Investment Theory 3 Hours

An examination is made of investment institutions, market mechanics and investment media. The course deals with the setting of investment objectives, portfolio building and the problems of selection and timing.

Prerequisite(s): FIN 330

Recent Term(s) Offered: spring 2021; fall 2021; spring 2022; fall 2022; spring 2023; fall 2023

FIN 333 Advanced Topics in PFP 3 Hours

An explanation of the federal income tax structure and the role taxes play in decision making. The tax effects on personal investments and the tax factors in personal financial planning are given special emphasis.

Prerequisite(s): FIN 161

Restriction(s): Enrollment is limited to students in Finance (664)

Recent Term(s) Offered: fall 2021; fall 2022; fall 2023

FIN 350 Risk Management and Insurance 3 Hours

Fundamental principles of risk and insurance and their application to risk situations. Provides the basic knowledge for intelligent solution of personal and business risk problems.

Recent Term(s) Offered: spring 2021; summer 2021; fall 2021; spring 2022; fall 2022; spring 2023; fall 2023

FIN 370 Principles of Real Estate 3 Hours

Deals generally with urban real estate with emphasis on principles and practices of the real estate business.

Prerequisite(s): FIN 330

Recent Term(s) Offered: summer 2021; summer 2022; summer 2023

FIN 389 Financial Analysis and Modeling 3 Hours

This course reviews fundamental finance concepts in a computerized spreadsheet framework. This course covers such topics as Time Value of Money (TVM), Capital Budgeting, Financial Statement Analysis and Pro-Forma statement development, Investment Analysis and Modelling, and Derivatives. All of this will be done using the Microsoft Excel Platform. Students will be required to have a basic understanding of finance principles and computing proficiency.

Prerequisite(s): BDAN 250 and FIN 330

Recent Term(s) Offered: fall 2022; spring 2023; fall 2023

FIN 399 Career Readiness in Finance 1 Hour

Introduction to preparation for a career in Finance including exposure to careers in finance; certifications in the profession; development of professional writing skills, professional resumes and cover letters; oral communications; interviewing skills; goal setting; ethical standards; and professional networking. This course is limited to juniors and seniors in the Finance program. Assignments may include travel.

Prerequisite(s): FIN 330 (may be taken concurrently) and FIN 161 (may be taken concurrently)

Restriction(s): Enrollment is limited to students in Finance (664) or Finance (Seeking Adm) (664P)

Recent Term(s) Offered: fall 2022; spring 2023; fall 2023

FIN 403 Security Licensing and Investment Accreditations Lab 1 Hour

This course is for finance majors to study for and take career licensures and certification exams. This includes preparation for the Securities Industry Essentials® (SIE®) exam, the Series 65 exam, or the first level of Chartered Financial Analyst (CFA) exam.

Prerequisite(s): FIN 331 or FIN 332

Restriction(s): Enrollment limited to students with a semester level of Junior or Senior.

Enrollment is limited to students in Financial Planning (200) or Finance (664)

Recent Term(s) Offered: spring 2023

FIN 405 Legal and Regulatory Aspects of Personal Financial Planning 1.5 Hour

A study of the requirements relevant to financial planners under the 1933, 1934, and 1940 Securities Acts, ERISA, state securities laws, registered investment adviser compliance, and CFP® Code of Ethics and Professional Standards of Conduct. Explores due diligence and compliance procedures and processes.

Prerequisite(s): FIN 161 and FIN 331

Restriction(s): Enrollment limited to students with a semester level of Junior or Senior.

Recent Term(s) Offered: None

FIN 419 Behavioral Finance and Investing 1.5 Hour

This course takes an in-depth look at how behavioral biases impact day-to-day decision making in the area of Investments and Corporate Finance and the steps investors and managers can take to mitigate the effects of those biases. The course deals with current theory and practice in the area of Behavioral Finance.

Restriction(s): Enrollment limited to students with a semester level of Junior or Senior.

Recent Term(s) Offered: None

FIN 422 Personal Financial Planning Practice Management 3 Hours

This course introduces students to counseling and communication techniques, as well as interviewing strategies for use in financial planning settings, advanced coursework in professional software packages for financial planning and investment portfolio applications, and reviews business models and staffing arrangements.

Prerequisite(s): FIN 331 (may be taken concurrently) and FIN 332 (may be taken concurrently) and FIN 444 (may be taken concurrently)

Restriction(s): Enrollment is limited to students in Financial Planning (200) or Finance (664)

Recent Term(s) Offered: spring 2023

FIN 430 Selected Topics-Finance 3 Hours

Conducted at selected times covering special topics of current interest to finance students. Class format varies with instructor. Note: Permission of instructor.

Prerequisite(s): FIN 330

Recent Term(s) Offered: None

FIN 433 Financial Markets and Institutions 3 Hours

An examination of the behavior of U.S. and world financial markets with a special emphasis on interest rate theories, funds flows, and the role of financial institutions in these markets.

Prerequisite(s): FIN 330

Recent Term(s) Offered: fall 2021; fall 2022; fall 2023

FIN 435 Commercial Bank Management 3 Hours

Study of the financial management of commercial banks. Emphasis is on asset/liability management and the financial analysis of bank statements.

Prerequisite(s): FIN 330

Recent Term(s) Offered: spring 2021; spring 2022; spring 2023

FIN 436 International Financial Management 3 Hours

An examination of the international dimension of corporate finance. Topics covered include environment of international financial management, foreign exchange risk management, foreign investment analysis, and financing foreign operations.

Prerequisite(s): FIN 330

Recent Term(s) Offered: spring 2021; spring 2022; spring 2023

FIN 437 Corporate Asset Management 3 Hours

Advanced level exposure to valuation concepts, capital budgeting decisions, working capital management, and mergers and acquisitions. Deals with the current theory and practice of corporate finance in these areas.

Prerequisite(s): FIN 330 with a minimum grade of C and ECON 307 (may be taken concurrently)

Recent Term(s) Offered: fall 2021; fall 2022; fall 2023

FIN 438 Corporate Funds Management 3 Hours

Advanced level exposure to financing and dividend policy, short-, intermediate-, and long-term financing, and financial statement analysis. Deals with the current theory and practice of corporate finance in these areas.

Prerequisite(s): FIN 330 with a minimum grade of C and ECON 307 (may be taken concurrently)

Recent Term(s) Offered: fall 2021; fall 2022; fall 2023

FIN 439 Security Analysis and Portfolio Management 3 Hours

An advanced level exposure to fixed income and equity security valuation, and the theory and practice of portfolio management.

Prerequisite(s): FIN 332 with a minimum grade of C

Recent Term(s) Offered: spring 2021; spring 2022; spring 2023

FIN 440 Internship in Finance 3 Hours

Supervised work with a cooperating organization that provides financial services and products to the public. Note: Admission to departmental cooperative program required.

Prerequisite(s): FIN 330

Recent Term(s) Offered: spring 2021; fall 2021; spring 2022; fall 2022; spring 2023; fall 2023

FIN 441 Entrepreneurial Finance 3 Hours

This course focuses on gaining understanding of the financing of entrepreneurial ventures, including ways entrepreneurs identify and commit the necessary resources to create and finance their ventures.

Prerequisite(s): FIN 330

Recent Term(s) Offered: fall 2021; fall 2022; fall 2023

FIN 444 Retirement Planning 3 Hours

Emphasizes the practical knowledge needed for choosing the best financial plan and designing a retirement plan that will meet a client's needs from a tax, retirement, and financial planning standpoint.

Prerequisite(s): FIN 161

Recent Term(s) Offered: fall 2021; fall 2022; fall 2023

FIN 445 Estate Planning 3 Hours

Survey of financial planning, estate and gift tax planning, the unified estate and gift tax system, and the transfer of property through trusts and wills.

Prerequisite(s): FIN 161

Recent Term(s) Offered: spring 2021; spring 2022; spring 2023

FIN 449 Practicum in Portfolio Management 3 Hours (repeatable max of 6 hrs)

Practical experience in managing an investment portfolio in a teamwork environment. Emphasis placed on Economics, Industry, and Company analysis, security selection, report preparation, daily decision making, record keeping and performance evaluation. Students will be responsible for making all material decisions in managing an actual investment portfolio of real funds. Note: Permission of instructor.

Prerequisite(s): FIN 332 with a minimum grade of C

Recent Term(s) Offered: spring 2021; summer 2021; fall 2021; spring 2022; summer 2022; fall 2022; spring 2023; summer 2023; fall 2023

FIN 450 Financial Plan Development 3 Hours

The student will be able to demonstrate understanding of financial planning by successfully formulating and presenting a comprehensive financial plan.

Prerequisite(s): FIN 331 (may be taken concurrently) and FIN 350 (may be taken concurrently) and FIN 444 (may be taken concurrently) and FIN 333 (may be taken concurrently)

Recent Term(s) Offered: spring 2021; fall 2021; spring 2022; spring 2023

FIN 499 Senior Assessment in Finance 1 Hour

This course is designed to be a tool in the senior assessment process. It is designed to provide a means of conducting assessment of Finance majors. The course will seek to measure knowledge of basic ideas and concepts necessary of Finance graduates. Note: Must be enrolled in last regular semester of course work (summer graduate would take this course in the spring semester prior to completion).

Prerequisite(s): FIN 399

Restriction(s): Enrollment limited to students with a semester level of Senior.

Recent Term(s) Offered: spring 2021; fall 2021; spring 2022; fall 2022; spring 2023; fall 2023